08-13555-mg Doc 12648 Filed 11/03/10 Entered 11/10/10 11:27:33 Main Document Pg 1 of 15

www.LehmanDocket.com

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

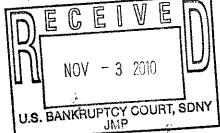
08-13555 (JMP)

(Jointly Administered)

LBH OMNIS8 10-13-2010 (MERGE2,TXNUM2) 4000003935 MAIL ID *** 0035611806 *** BSIUSE: 343

BENEDICT, SAMUEL MICHAEL CARLIN, WEALTH MANAGEMENT, LLC 7098 E. COCHISE ROAD, SUITE 222 SCOTTSDALE, AZ 85253

490-483-3499



THIS IS A NOTICE REGARDING YOUR CLAIM(S). YOU MUST READ IT AND TAKE ACTION IF YOU DISAGREE WITH THE OBJECTION.

<u>IF YOU HAVE ANY QUESTIONS ABOUT THIS NOTICE OR THE OBJECTION,</u> PLEASE CONTACT DEBTORS' COUNSEL, ERIK ENCARNACION, ESO., AT 214-746-7700.

NOTICE OF HEARING ON DEBTORS' FIFTY-EIGHTH OMNIBUS OBJECTION TO CLAIMS (NO SUPPORTING DOCUMENTATION CLAIMS)

CLAIM TO BE DISALLOWED & EXPUNGED

Creditor Name and Address: BENEDICT, SAMUEL

MICHAEL CARLIN, WEALTH MANAGEMENT,

LLC

7098 E. COCHISE ROAD, SUITE 222

SCOTTSDALE, AZ 85253

Claim Number:

Date Filed:

3983 4/27/2009

Debtor:

08-13555

Classification and Amount:

UNSECURED: \$ 50,000.00

PLEASE TAKE NOTICE that, on October 13, 2010, Lehman Brothers Holdings Inc. and certain of its affiliates (collectively, the "<u>Debtors</u>") filed their Fifty-Eighth Omnibus Objection to Claims (No Supporting Documentation Claims) (the "Objection") with the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

The Objection requests that the Bankruptcy Court expunge, reduce, reclassify, and/or disallow your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED on the ground that said claim violates the Bankruptcy Court's July 2, 2009 order setting forth the procedures and deadlines for filing proofs of claim in these chapter 11 cases (the "Bar Date Order") [Docket No. 4271], as it does not include supporting documentation or an explanation as to why such documentation is unavailable. Any claim that the Bankruptcy Court expunges and disallows will be treated as if it had not been filed and you will not be

If you do NOT oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you do NOT need to file a written response to the Objection and you do NOT

If you DO oppose the disallowance, expungement, reduction or reclassification of your claim listed above under CLAIM TO BE DISALLOWED & EXPUNGED, then you MUST file with the Court and serve on the parties listed below a written response to the Objection that is received on or before 4:00 p.m. Prevailing Eastern Time on November 15, 2010 (the "Response

A list of the Debtors, along with the last four digits of each Debtor's federal tax identification number, is available on the Debtors' website at http://www.lehman-docket.com.

Account Total (Pie Chart)

\$1,027,683.95

\$1,016,809.60

100%

69,132.34

878,444.22 79,610.24

852,097.21

84%

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

69,629,49

Last Period

This Period 95,580.05

> Allocation Percent

CASA GRANDE AZ 85294-7283 KATHERINE BENEDICT JTWROS SAMUEL J. BENEDICT & 10940 W. IRONWOOD HILLS DR.

Your Investment Professional: MICHAEL CARLIN

(602) 468-3636

Broke/age Account Statement

Account Number: AGY-001922

E

\$1,027,683.95

This Period

Statement Period: 03/01/2008 - 03/31/2008	,
Valuation at a Glance	
	an Bernaman (Proposition of the Control of the Cont

\$37,734.38	Estimated Annual Income
\$1,016,809.60	Ending Account Value
-11,009,16	Change in Account Value
5,999.44	Dividends/Interest
-5,864.63	Cash Withdrawals
\$1,027,683.95	Beginning Account Value

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Clearing Through Pershing II.C, a subsidiary of The Bank of New York Mellon Corporation American Association

Page 1 of 18

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Clearing Through Pershing LLC, a subsiciary of The Bank of New York Melian Corporation

08-13555-mg	Doc 12648 F	iled 1	1/03/10 Pg	E 3 o	Ent f 1	ered 5	11/10	/10 2	11:27:33	Main [Doo	cum
FEDERAL HOME LN BKS CONS BDS 5.200% 11/20/14 B/E DTD 11/20/07 CALLABLE 11/20/09 @ 100.000 Moody Rating AAA S & P Rating AAA Security Identifiex 3133XNNV0 02/11/08 01girial Cost Basis: \$51,815.00	SPEDERAL HOME LN MTG CORP MEDIUM TERM NTS 4.750% 12/08/10 B/E DTD 12/08/03 CALLABLE 1ST CPN DTE 06/08/04 Moody Rating AAA S & P Rating AAA Security Identifies 3128X2EV3 45.000.000 12/01/04 Original Cost Basis: \$45,485.00	Fixed Income 84.00% of Portfolio (In CUSP Sequence) U.S. Government Bonds	Acquistion (Namity Date	Total Cash and Cash Equivalents	Total Money Market	95,590.050 03/01/08	Cash and Cash Equivalents 9.00% Money Market	Opening Oute Date	Portfolio Holdings	MICHAEL CARLIN 2390 E CAMELBACK ROAD STE 216 PHOENIX AZ 85016-3450	Your Investment Professional: 899	Customer Service Information
103,6300	TERM NTS 101.0780	(In CUSIP Sequence)	Unit: Cost			0000820558	9.00% of Portfolio	Account Number				
51,782.94	45,229,92		Adjusted Cost Basis			03/31/08		Activity Ending		Telephone Number: (602) 468-3636 Fax Number: (602) 468-9414 E-Mail Address: customersenice@if	Contact Information	
104.0310	105.5000		Market: Price	\$79,610.24	\$79,610.24	79,610.24		Opening Balance		Telephone Number: (602) 468-3636 Fax Number: (602) 468-9414 E-Mail Address: customerservice@ifgsd.com		
52,015.50	47,475.00		Market Value	\$95,580.05	\$95,580.05	95,580.05		Closing Balance		73		
232.56	2,245.08		Unrealized Gain/Loss	\$0.00	\$0.00	0.00		Ascrued Income		Service Hours: Weekdays Customer Service Teleph Web Site: www.ifgsd.com	Customer Service Information	oners et accounts jateliceaeus en em accidence des con
946.11	670.94		Actual Interest	\$677.98	\$677.98	677.98		income This Year		Service Hours: Weekdays 08:00 a.m 05:00 p.m. PT Customer Service Telephone Number: (619) 209-3359 Web Site: www.ifgsd.com	e Information	ARGUMAN BENTANDA (April de la
2,600.00	2,137.50		Estimated Angual Income	,		2.64%		30-Day Vield		6:00 p.m. PT ; (619) 209-3359		cino estamost l'escatica sociale materiale
4.99%	4.50%		Estimated Vield			2.46%		Current. Vield				state destruction students

Statement Period: 03/01/2008 - 03/31/2008

Portfolia Haldings (continued)

8-13555	o-mg	Doc 1	2648	Filed 1	.1/03/1(<u>Po</u>		nterec 15	11/10/10	11:2	7:33
Security Identifies: 31395ACH6 120,000,000 17/23/04 Original Cost Basis: \$121,800.00	3FHI.NIC WILLTICLASS MTG PARTN CITS GTD SER-2806 CL-2806-CG 6.000% 06/15/34 B/E Factor 1.00000000 DTD 06/01/04 CLB	Security Identifies: 31394EQE1 60,000.000 Original Cost Basis: \$55,044,97	3FNIVIA GTD REINIC PASS THRU CTF REINIC TR-2005-57 CL-57-KA 5.500% 07/01/35 B/E Factor 0.66394108	Moody Rating AAA Security Identifier; 31359FDA3 50,000,000 Original Cost Basis: \$52,375.00	3FNIMA GTD REMIC PASS THRU CTF REMIC TR-1993-202CL-202-J 6.500% 11/25/23 B/E Factor: 0.71074730 DTD 11/07/93		50,000.000 02/14/08 Original Cost Basis: \$49,880.00 Total U.S. Government Fonds	FEDERAL HOWE LN BKS CONS BD 5.250% 07/10/23 B/E DTD 07/10/03 CALLABLE 04/10/08 @ 100.000 Wloody Rating AAA S & P Rating AAA Security Intertities 31339Y5B4	U.S. Government Bonds (continued)	Quarity Date
101.5000	tor 1.00000000	101.5000	or 0.66394108	104.7500	or. 0.71074730		99.7600			Unit Cost
121,800.00		40,434.01		37,225.39		\$146,891.79	49,878.93			Adjusted Cost Basis
100.3750		160.1589		106.4220			99.6880			Market Price
120,450.00		39,899.41		37,819.58		\$149,334.50	49,844.00			Market Halue
-1,350.00		-534,60		594.19		\$2,442.71	-34,93			Unrealized Gain/Loss
600.00		182.58		192,49		\$2,207.68	590.63			grakes Destructions and Associated a
						\$7,362.50	2,625.00			Estimated Annual Income
							5.26%			Estimated Yield

Page 3 of 78

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CONLEAR KAILO

DTD 11/16/98 1ST CPN DTE 03/15/99 Moody Rating A2 S & P Rating A Security Identifier 09700WBH5

Portfolio Holdings (continued)

Acquisition Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Junual Income
Income (continued)	Annual International Statement Company	ajo de concentración de serán de constitución de constitución de constitución de constitución de constitución de	STREET, STREET	A composed in production the service composed to the Service Co. 1991	Parity (TSS)	ender den	8
Asset Backed Securities (continued)							
3FHILING MULTICLASS MITG PARTIN CITS GID SER-2877 CL-2877-JA 5.500% 10/15/16 B/E Factor: 0.77790010 DTD 10/01/04 CLB Security Identifies: 31395HKX7	77790010						
Security Identifier: 31395HKX7 100,000.000 11/23/04 Original Cost Basis: \$94,464,33	102.8750	80,026.45	102.5790	79,796.21	-230.24	356.54	
3GNN/A GTD REMIC PASS THRU CTF REMIC TR-2001-53 CL-53-PM 5.500% 12/20/31 B/E Factor: 0.54852475 DTD 11/01/01	,54852475						
Security Identifier 38373TAP0 20,000,000 01/25/06 Original Cost Basis: \$20,000,00	100.0000	10,970.48	102.9130	11,290.07	319,59	50.28	
Total Asset Basked Securities Muricipal Bonds		\$290,456.33	Aparticular de significación de companyo d	\$289,255.27	-\$1,201.06	\$1,381.89	
SINIDIANA BD BK REV SCH SEVERANCE FDG-6-A 5.870% 07/15/17 B/E DTD 06/23/04 CALLABLE 07/15/14 Moody Rating AAA S & P Rating AAA Security Identifier 4546237R4 25,000.000 11/29/04 Original Cost Basis: \$26,447.50	105.7900	26,160.59	105,1830	26,295.75	145.16	309.81	
ST RES AUTH I BD PG-E 6.000 01 CALLABLE 01 110 AA2 S & P Ra 111 PG-F 12817PD							
25,000.000 Original Cost Basis: \$26,581.00	106.3240	25,939,89	104.8060	26,201,50	261.61	625,00	
Total Municipal Bonds 50,000.000 Corporate Bonds		\$52,090.48		\$52,497.25	\$406.77	\$934,81	ł
3BOEING CAP CORP MEIDIUM TERM SENIOR NOTES 6.500% 11/17/08 B/E							



CAMBIEL I RENEFISCT & Account Number: AGY-001922 S GALBAR KATEO

CANDIEL I REMEDIATE Account Number: AGY-001922



12633 High Bluff Drive, Suite 100

Portfolio Holdings (continued)

Main Document

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Statement Period: 03/01/2008 - 03/31/2008 Brokerage Account Statement

08-13555-mç	Doc 12648	Filed 11/03/10 Pg		10/10 11:2	7:33
SHOUSEHOLD FIN CORP INTERNOTES FLT RATE 6.580% 09/15/13 B/E DTD 09/30/03 1ST CPN DTE 10/20/03 Moody Rating AA3 S & P Rating AA- Security Identifier 44181EXR4 40,000.000 Guiginal Cost Basis: \$41,700.00	3GENERAL ELEC CAP CORP MED TRM NTS 5.375% 12/15/19 B/E DTD 12/04/03 CALLABLE 06/15/08 @ 100.000 Moody Rating AAA S & P Rating AAA Security Identifier 3696RLD6 40,000.000 06/17/05 Original Cost Basis: \$40,335.00	3GTE NORTHWEST INC 6. 300% 06/01/10 B/E DTD 06/01/98 1ST CPN DTE 12/01/98 CPN PMT SEMI ANNUAL ON JUN 01 AND DEC 01 Moody Rating A S & P Rating A Security Identifier 36233RAF0 50,000.000 01/25/06 Original Cost Basis: \$51,710.00	SCOUNTRYWIDE HOME LINS INC NIEDIUM TERM NTS TRANCHE # TR 00295 6.000% 01/24/18 B/E DTD 01/24/03 CALLABLE DTD 01/24/03 CALLABLE Moody Rating BAA3 S & P Rating BBB+ Security Identifier 22237LNG3 25,000.000 11/24/04 10 Original Cost Basis: \$25,720.20	Corporate Bonds (continued) BOEING CAP CORP NEDIUM TERM SENIOR (continued) 30,000,000 11/29/04 708.5 0niginal Cost Basis: \$32,662.50	Acquisition Quantity Date
104.2500	100.8380	103.4200	1 NTS 102.8810	ritinued) 108.8750	Unit Cost
40,000.00	40,285.17	50,899,16	25,582.99	30,448.43	Adjusted Cost Basis
95,2010	96.2339	100.0000	74.6620	101.6900	Market Price
38,080.40	38,493.20	50,000.00	18,665.50	30,507.00	Market Value
-1,919.60	-1,791.97	-899.16	-6,917,49	58.57	Unrealized Gain/Loss
80.42	633,06	1,050.00	279.17	86.67	Acerussi interest
2,632.00	2,150.00	3,150.00	1,500.00	1,950.00	Estimaked Annual Income
6.91%	5.58%	6.30%	8.03%	6.39%	Estimated Yield

568,000.000

35,000.000

33,000.000

70,000.000

50,000.000

Portfolio Holdings (continued)

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CANALICI I RENIETHAT S. Account Number: AGY-001922

DTD 05/10/95 CALLABLE *Total Fixed Income **3PRINCIPAL LIFE INCOME FUNDINGS TRS** Original Cost Basis: \$34,820.00 Total Corporate Bonds 4.350% 08/16/10 B/E DTD 08/25/04 Moody Rating AA2 S & P Rating AA SECD MEDIUM TERM NTS TRANCHE TR# 00042 Security Identifier 597433AC5 ISIN#US597433AC57 7.650% 05/01/25 B/E MIDLAND BK PLC SUB NTS Original Cost Basis: \$49,150.50 5.750% 01/03/17 B/E DTD 10/24/06 Original Cost Basis: \$34,117.30 Woody Rating AA2 S & P Rating AA-Moody Rating A2 S & P Rating A Fixed Income (continued) Security Identifier T4254PBT8 Security Identifier 524908UB4 CALLABLE 1ST CPN DTE 01/03/07 Original Cost Basis: \$71,055,00 Security Identifies 45974EQP4 Moody Rating A1 S & P Rating AA-DTD 05/03/07 1ST CPN DTE 06/15/07 NTS 4.850% 03/15/10 B/E INTERNATIONAL LEASE FIN CORP MEDIUM TERM Corporate Bonds (continued) Acquisition 01/25/06 02/07/08 02/14/08 03/04/08 97 4780 105,5150 101.5070 98.3010 \$866, 162.07 \$376,723.47 34,514.04 34,814.66 49,157.48 71,021.54 Cost Basis Adjusted Market Price 101.8080 100,4230 100.9020 94,7760 \$852,097.21 \$361,010.19 Market Value 35,148.05 33,596.64 45,888.00 70,631.40 -\$14,064.86 \$15,713.28 Unrealized Gain/Loss -1,218.02 -3,269,48 634,01 -390.14 \$8,753.79 \$4,229.41 1,051.88 194.54 HOUTEN 702.78 150.89 Annual Income \$32,029.00 \$21,699.00 2,524.50 1,522.50 2,875.00 3,395.00 Estimated Estimated 4.33% 7.51% 6.26% 4.80%

Statement Period: 03/01/2008 - 03/31/2008

Portfolio Holdings (continued)

	29801] Total Equities	Total Preferred Stocks	Security tden 2,793,000	BANK ONE CAP VI PI Dividend Option: Cash	Equities Preferred S	Quartity
Total Portfolio Holdings	enderenden i eksterakonnen kalenderende kalenderen en e	ities	rred Stocks	Security Identifies: ONE PRW 2,793,000 07/14/06	BANK ONE CAP VI PFD SECS 7.20% Dividend Option: Cash	Equities 7.00% of Portfolio Preferred Stocks (Listed by expiration date)	Acquisition Date
	endomini transminio del destructuro del producturo			25.0710			Unit Cost
\$1,031,764.70	Cost Basis	\$70,022.58	\$70,022.58	70,022.58			COST IBASIS
				24,7520			Market Price
\$1,016,809.60	Market Malue	\$69,132.34	\$69,132.34	69,132,34			Market Value
-\$14,955.10	Unrealized Gain/Loss	-\$890.24	-\$890.24	-890.24			Unrealized Gain/Loss
\$8,753.79	Accrued Interest						Estimated Annual Income
\$37,734.38	Estimated Annual Involve	\$5,027.40	\$5,027.40	5,027,40			Estimated Annual Income
				7.27%		The state of the s	Estimated Yield

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

4 Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly or certain debt securities, estimated prices may be generated by a matrix system taking Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing been included in the Asset Allocation information at the beginning of this statement.

receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm. the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and Reinvestment · The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been



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Mutual Funds

Fixed Income

Equities

Cash, Money Funds, and FDIC Deposits

Prior Year-End

Last Period

This Period % Allocation

Account Total (Pie Chart)

Financial Group_{ue} P858-438-3180 F858-481-9033

CASA GRANDE AZ 85294-7283 KATHERINE BENEDICT JTWROS 10940 W. IRONWOOD HILLS DR. SAMUEL J. BENEDICT &

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Estimated Annual Income Ending Account Value

\$28,504.83

Change in Account Value

MICHAEL CARLIN Your Investment Professional:

(480) 483-3489

Account Statement

Statement Period: 09/01/2010 - 09/30/2010 Account Number: AGY-001922

Waluation at a Glance Beginning Account Value

Cash Withdrawals

Dividends/Interest

See page 5

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103.42	
-0.25	
3,723.14	
-3,722.99	
\$1,036,440.74	

	100%	\$1,036,544.06	\$1,036,440.74	019,732.70
asset classes which het to a liability.	4%	40,472.25	40,519.48	39,055.49
Pie Chart allocation excludes all	7%	72,115.26	70,830.48	70,188.09
rcunded to the nearest whole percentage.	73%	753, 195.36	755,523.58	740,595.47
Asset Allocation percentages are	16% 📉 📉	170,761.19 16%	169,567.20	169,893,65

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PAR-02-ROLL

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#1 Brokerage Statements, 2009 2010

B0073477CSF30001

PAR-02-ROLL

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Summary of Gains and Losses

Additional information

Principal Payments

Securities Bought and Sold

This Period	summary of Gains and Losses	and Losses		
\$0.00		Realized	8.	
		This Period	Year-to-Date	Unrealized
\$1,194.09	Short-Term Gain/Loss	0.00 0.00 9,080.2	0,00	9,080.25
	Lony-Term Gain/Loss	-38.05	-52,965.62	-15,317.98
	Net Gain/Loss	-38.05	-52,965.62	-6,237.73

This summary excludes transactions where cost basis information is not available.

10C9-CC7C9 7H

MICHAEL CARLIN 7098 E COCHISE RD STE 222 SCOTTSDALE AZ 85253-4557	Your Investment Professional: 899
Telephone Number: (480) 483-3489 Fax Number: (480) 483-7126 E-Mail Address: customerservice@ifgsd.com	Customer Service Information Your Investment Professional: 899 Contact Information
Service Hours: Weekdays 08:00 a.m 05:00 p.m. PT Customer Service Telephone (Number: (858) 436-3180 Web Site: www.ifgsd.com	Customer Service Information

Portfolio Holdings

					1			Pg	10		f 1	.5
Total U.S. Government Bonds 45,000.000	DTD 12/08/03 CALLABLE Moody Rating AAA S & P Rating AAA 45,000.000 12/01/04 101.0 10	SFEDERAL MOME LN WITG CORP MEDIUM TERM NTS FED R 4,750% 12/08/10 B/E	U.S. Government Bonds	Fixed Income 73.00% of Portfolio (In CUSIP Sequence)	Applement	Total Cash, Money Funds, and FDIC Deposits	iotal Money Market	FEDERATED CAPITAL RESERVES 170,761.190	Money Market	Cash, Money Funds, and FDIC Deposits 16.00% of Portfolio	ATTACHER AND CONTRACTOR OF THE PROPERTY OF THE	
brads	oody Rating AAA S & P R 12/01/04 00	G CORP MEDIUM TER		% of Portfolio (In (Adquisition Date	unds, and FDIC D		SERVES 09/01/10		and FDIC Deposit	Opening Date //	
	ating AAA 101.0780	M NIS	•	USIP Sequence)	Opii Cost	eposits		0000820558		IS 16,00% of	Account Rumber	
\$45,000.00	45,000.00	Security Identifier:3128K2EV3			Adjusted Cost Basis			09/30/10		Portfolio	Ending	Activity
	100.8440	EV3			Market Price	\$169,567.20	\$169,567.20	169,567.20			Balance	Opening
\$45,379.80	45,379.80				Warket Value	\$170,761.19	\$170,761.19	170,761.19			Balance	Closing
\$379.80	379,80				Unrealized Gain/Loss	\$0.00	\$0.00	0.00			HEOSEI	Accrued
\$665.00	665,00				Recrued Interest	\$0.56	\$0.56	0.56			This Year	income
\$2,137.50	2,137.50				Estimated Annual theome	A THE PERSON NAMED IN COLUMN 1 AND THE PERSON NAMED IN COLUMN 1 AN		0.00%			lield.	30-Day
	4.71%				Estimated Vield			0.00%		200 matrodocanocon	Yield	Current

Clearing through Perships LLC, a subsiding of The Bunk of New York Mellen Corporation

Statement Period: 09/01/2010 - 09/30/2010

Portfolio Holdings (continued)

									y -	11 of						76
25,000,000	DTD 06/23/04 CALLABLE 07/15/14 Moody Rating BAA1 S & P Rating	3INDIANA BD BK REV SCH SEVERANCE FDG-6-A 5.870% 07/15/17 B/E	Municipal Bonds	100,000,000 Original Cost Basis: 100,755.00	GNMA GTD REMIC PASS THRU CTFS REMIC SER 2009-93 CL-93-AY 5.000% 10/20/39 B/E DTD 10/01/09 CLB	20,000,000 Original Cost Basis: 20,000.00	3GNMA GTD REMIC PASS THRU CTF REMIC TR-2001-53 CL-53-PM 5.500% 12/20/31 B/E DTD 11/01/01	100,000,000 Original Cost Basis: 94,464.33	SFHLINC MULTICLASS INTG PARTIN CIFS GID SER-2877 CL-2877-JA 5.500% 10/15/16 B/E DTD 10/01/04 CLB	120,000.000 Original Cost Basis: 121,800.00	3FHLINC MULTICLASS MTG PARTN CTFS GTD SER-2806 CL-2806-CG 6.000% 06/15/34 B/E DTD 06/01/04 CLB	50,000,000 Original Cost Basis: 52,375.00	3FNMIA GTD REMICIPASS THRU CTF REMIC TR-1993-202CL-202-J 6.500% 11/25/23 B/E DTD 11/01/93 Moody Rating AAA	Asset Backed Securities	Fixed income (continued)	Quantity Acquis
11/29/04	Moody Rating BAA1	RANCE		01/04/10	CTFS REMIC 20/39 B/E	01/25/06	J CTF REMIC 20/31 B/E	11/23/04	WIN CIFS GID 5/16 B/E	11/23/04:	RIN CIFS GID 15/34 B/E	06/20/05	J CTF REWIC 25/23 B/E			Acquisition Date
105.7900	S & P Rating			100.7550		100.0000		102.8750		101.5000		104,7500				Unit Cost
25,656.36		Security Identifier: 4546237R4	35 IU, 38 I. 3 I	100,755.00	Security Identifier:38376KGV7 Factor: 1.00000000	5,181.11	Security Identifier:38373TAPO Factor: 0.25905560	60,374.67	Security Identifier:31395HKX7 Factor: 0.58687420	121,800.00	Security Identifier:31395ACH6 Factor: 1.00000000	22,470.73	Sexurily Identifier:31359FDA3 Factor: 0.42903531			Adjusted Cost Basis
108.0920:				109.3880	7	103.5800		102.5360	7	103,6400	G	112.7810	ယ		the set most sector. I see the set of the sector sector set of the sector sector set of the sector set of the sector set of the sector sector sector sector sector sector sector sector secto	Market Price
27,023.00			\$323,491.85	109,388.00		5,366.60		60,175.73		124,368.00		24,193.52				Market Value
1,366.64			\$12,910.34	8,633.00		185.49		-198.94		2,568.00		1,722.79			National (Self) is all impartenance (Self) if publicans sense in the	Unrealized Gain/Loss
305.73			\$1,378.08	402.78		22.96		260.02		580,00		112.32				Accrued
1,467,50			\$0.00												kepang (alumb) sepangkan mengang mengangkan kepanggan pengangkan sebanggan penganggan sepanggan penganggan sepanggan	Estimated
5,43%															iopeanomeanachtaire 1721	Estimated

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305,73

1,467,50

Page 3 of 15 5,43% CUVARIES I DEVIEWAL & Account Number: AGY-901922

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#1 Brokeringe Stamment, 2009, 2010 Fig. Bab Sammy

Portfolio Holdings (continued)

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CALLABLE 1ST CPN DTE 09 25,000,000 Original Cost Basis: 26,593	HEWLETT PACKARD CO	25,000,000 Original Cost Basis: 25,812	1ST CPN DTE 09/17/08 CPI S & P Rating BBB+	GENERAL MILS INC NT 5	40,000,000 Original Cost Basis: 40,338	DTD 12/04/03 CALLABLE 1	3GENERAL ELEC CAP CO INTERNOTES 5.325% 12/1				VED TERM NT TRANCHE	25,000,000 Original Cost Basis: 26,04	1ST CPN DTE 08/15/09 CF	AT&T INC GLOBAL NT	Corporate Bonds	Total Municipal Bonds 25,000,000	Original Cost Basis: 26,44	Municipal Bonds (conti	Fixed Income (contin	ликов в местаний потметительного в АДИВ ИЗДА В МОДИВ В В МОДИВ В В В В В В В В В В В В В В В В В В
V01/07 Moody Rating A2 S & 04/13/09:	GLOBAL NT	04/13/09) 1.50	N PWT SEWII ANNIJAL IVlood	.200% 03/17/15 B/E	06/17/05: 5.00	0/15/10 Moody Rating AA2	RP INTERNOTES: 5/19 B/F	Acody Rating A2 S & P Rating A2 S & P Rating 04/13/09:	NIEDIUM TERMINTS	i 01/24/03 Moody Rating A2 11/24/04! 0.20	LINS INC MEDIUM TERMI # 00295	04/14/09: 7.25	'N PWT SEMI ANNUAL NIOO	5.800% 02/15/19 B/E					næd)	Acquisition Date
P Rating A 106.3740		103.2500	y Rating BAA1		100.8380	S & P Rating		9 A 105.8320		S & P Rating A 102,8810	SIN	104.1890	ly Rating A2 S				T)	1		Unit Cost
25,803.53	Security Identifier:428236AL7	25,632,56		Security Identifier:370334BFC	40,000.00		Sexurity Identifier:36966RLD	26,210.30	Security Identifier:25468PCE	25,000.00	Security Identifier:22237LING	25,924,28		Security Identifier: 00206RAR		\$25,656.36				Adjusted Cost Basis
106,3930		114.4300			100.4950		5)	120,2420	45	100.0410	ಏ	119,2040		ζ					Sifter and the second s	Market Price
26,598.25		28,607.50			40,198.00			30,060.50		25,010.25		29,801.00				\$27,023.00			STATES (STATES THE STATES	Market Value
794.72		2,974.94			198.00			3,850.20		10.25		3,876.72				\$1,366.64				Unrealized Gain/Loss
105.73		46,94			621.25			58.59		275.00		181.25				\$305.73				Accrued
1,312.50		1,300.00			2,130.00		*	1,406.25		1,500.00		1,450.00				\$1,467.50			OPTIVE CONTRACTOR OF THE PROPERTY OF THE PROPE	Estimated throme
4.93%		4.54%			5.29%			4.67%		5.99%		4.86%				, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			Minness Mercantages	Estimated
	CALL/ABLE 1ST CPN DITE 09/01/07 Moody Raking At 2 & P Rating A 25,003.000 04/13/09: 106.3740 25,803.53 106.3930 26,598.25 794.72 105.73 1,312.50 Original Cost Basis: 26,593.50	HEWILETT PACKARD CO GLOBAL NT 5.250% 03/01/12 B/E:DTD 02/27/07 5.250% 03/01/12 B/E:DTD 02/27/07 CALL/BLE 1ST CPN DTE 09/01/07 Moody Rating A2 S & P Rating A 25.0003.000 04/13/09: 106.3740 25.803.53 106.3930 26.598.25 794.72 105.73 1,312.50 Original Cost Basis: 26,593.50	25,000,000 04/13/09 103,2500 25,632,56 114,4300 28,607.50 2,974,94 46.94 1,300.00 Original Cost Basis: 25,812,50 HEWILETT PACKARD CO GLOBAL NT Security Identifier: 428236AL7 5.250% 03/01/12 B/E-IDTD 02/27/07 CALLABLE 1ST CPN DITE 09/01/07 Moody Rating A2 S & P Rating A 25,000,000 04/13/09: 106,3740 25,803.53 106,3930 26,598.25 794.72 105.73 1,312.50 Original Cost Basis: 26,593.50	1ST CPN DTE 09/17/08 CPN PMT SEMI ANNUJAL Moody Rating BAA1 S & P Rating BBB+ 25,000,000 04/13/09 103.2500 25,632.56 114,4300: 28,607.50 29,974.94 46.94 1,300.00 PMEWILETT PACKARD CO GLOBAL NT 5.250% 03/01/12 B/E ibTD 02/27/07 CALL/BLE 1ST CPN DTE 09/01/07 Moody Rating A2 S & P Rating A 25,000,000 04/13/09: 106.3740 25,803.53 106.3930: 26,598.25 794.72 105.73 1,312.50	GENERAL MALS INC NT 5.200% 03/17/15 B/E DTD 03/17/08 CALLABLE 1ST CPN DTE 09/17/08 CPN PMT SEMI ANNIJAL NIcody Rating BAA1 S & P Rating BBB+ 25,000.000 Original Cost Basis: 25,812.50 HEWILETT PACKARD CO GLOBAL NT 5.250% 03/01/12 B/E IDTD 02/27/07 CALLABLE 1ST CPN DTE 09/01/07 Moody Rating A2 S & P Rating A 25,003.000 Original Cost Basis: 26,593.50 Original Cost Basis: 26,593.50 Original Cost Basis: 26,593.50	40,000.000 Original Cost Basis: 40,335.00 Original Cost Basis: 25,912.50 Original Cost Basis: 25,912.50 Original Cost Basis: 25,912.50 Original Cost Basis: 25,912.50 Original Cost Basis: 26,593.50 Original Cost Basis: 26,593.50	DTD 12/04/03 CALLABLE 10/15/10 Moody Rating AA2 S & P Rating AA4 AA4 40,000,000 06/17/05: 100.8380 40,000,000 100.4950: 40,198.00 198.00 621.25 2,130.00 GENERAL MILS INC NT 5,200% 03/17/15 B/E Security Incompletes Security Incompletes: 370334BF0 DTD 03/17/08 CPN PMT SEMI ANNUAL Moody Rating BBA41 Security Incompletes: 370334BF0 25,000,000 Original Cost Basis: 26,812.50 04/13/09: 103.2500 25,632.56 114.4300: 28,607.50 2,974.94 46.94 1,300.00 HEWILETT PACKARD CO GLOBAL NT 5.250% 03/01/12 B/E: DTD 02/27/07 CALLABLE 1ST CPN DTE 09/01/07 Moody Rating A2 S & P Rating A 25,803.53 Security Identities: 428236AL7 5.000.000 25,000.000 04/13/09: 106.3740 25,803.53 106.3930 26,598.25 794.72 105.73 1,312.50	SGENIERAL ELEC CAP CORP INTERNOTES Security Identifier-36966RLD6	DTD 09/11/06 CALLARLE Mocody Rating A 2 S & P Rating A 25.00.000 04/13/09 106.8320 26.210.30 120.2420 30.060.50 3.850.20 58.59 1.406.25 26.000.000 04/13/09 106.8320 26.210.30 120.2420 30.060.50 3.850.20 58.59 1.406.25 26.000.000 100.0000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000	DISNEY VIRIAL TCO NEW MEDILIM TERM NITS Security Identifier: 25488PCE4	5,000,000 10/24/18 BEE 0/170 01/24/03 Moody Rating A 25,000,000 100,0410 25,010,25 10,25 10,25 10,25 10,000 1,500,000 10,000	SCOUNTRYWIDE HOUSE LASE INC. MEDIUM TERM HTS Security Identifier-2223TLVG3	25.000.000 25.000.000 25.907.25 04/14/09 104/14/09 104/14/09 25.904.28 119.2040 29.901.00 3,878.72 181.25 1,450.00 20.000 20.0000 20.0000 20.0000 20.0000 20.0000 20.0000 20.0000 20.0000 20.0000 20.00000 20.00000 20.00000 20.00000 20.000000 20.000000 20.000000 20.000000 20.000000 20.000000 20.000000 20.00000000 20.0000000000	ST CON DEE BYSICS CON PART SEM ANNUAL Moody Rating A2 S & Pating A	ARTE INC CLOSAL HT 500% 02/15/19 BEE DTD 07/00/2009 CALLABEE TO CONTROLLABLE S000% 02/15/19 BEE AP bailing A 25.000.000 Compined Cost Basic 26,047.25 ACOUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 S & P Bailing A2 COUNTRY WINE FOR DTD 07/20/19 Being A2 COUNTRY WIN	ARTER INC GLOBAL INT S. 5000% R271-519 B/E ARTER INC GLOBAL INT S. 5000% R271-519 B/E ARTER INC GLOBAL INT S. 5000% R271-519 B/E 2 Palony A 2 Palo	Total Municipal Blonds	Comparison Services (AAA45.5) Comparison Services (AAA45.6) Comparison Services (AAA44.6) Comparison Services (AAA44.6)	Manisha Bonds Continued	Fixed Insome Constituted

Statement Period: 09/01/2010 - 09/30/2010

Portfolio Holdings (continued)

Adjusted

			<u> </u>		P	g 13 o					
50,000,000 Original Cost Basis: 49,150,50	LEHNIAN BROTHERS HI DGS INC SUB NT 5.750% 01/03/17 B/E (DTD 10/24/06 CALLABLE SECURITY IN DEFAULTIST CPN DTE 01/03/07 CPN PMT SEMI ANNUAL ON 134 AND 311 52	S & P Rating BBB 25,000.000 Original Cost Basis: 25,380.00	KROGER CO SR NT 6.150% 01/15/20 B/E DTD 01/16/08 CALLABLE 1ST CPN DTE 07/15/08 CPN PMT SEMI ANNIJAL Moody Rating BAA2	25,000,000 Original Cost Basis: 25,677,50	KRAFT FOODS INC SR NT 6.500% 08/11/17 B/E @TD 08/13/07 CALLABLE 1ST CPN DTE 02/11/08 Moody Rating BAA2 S & P Rating BBB-	CALLABLE 1ST CPN DITE 02/15/04 Moody Rating A2 S & P Rating A 25,000.000 04/13/09 107.7160 07/13/09 107.7160	KINBERLY CLARK CORP NT 5.000% 08/15/13 B/E DTD 08/05/03	40,000.000 Original Cost Basis: 41,700.00	SHOUSEHOLD FIN CORP INTERNOTES INFI SHOUSEHOLD FIN CORP INTERNOTES INFI PROTECTED INTERNOTE 3.790% 09/15/13 B/E PROTECTED INTERNOTE 3.790% 09/15/04 Moody I A	Fixed lincome (continued)	Quantity
02/14/08: 50	JGS INC SUB NT 10/24/06 FAULTIST CPN DTE 01/03/	04/14/09: .00	1% 01/15/20 B/E 1 PMT SEMI ANNIJAL NJOOG	04/13/09: 150	T 08/13/07 2/11/08 Moody Rating BAA2	2/15/04 Moody Raing A2 S 04/13/09 3,00	08/05/03	11/23/04/ 0.00	SUPPORAGE BOINS (CONUNTIED) 3HOUSEHOLD FIN CORP INTERNOTES INFLATION PROTECTED INTERNOTE 3.790% 09/15/13 B/E DTD 10/02/03 1ST CPIN DTE 01/15/04 Moody Rating A3 S & P Rating A	ued)	Acquisition Date
98.3010	07 CPN PIVIT	101.5200	ly Rating BAA2	102.7100	S & P Rating	& P Rating A 107.7160		104.2500	N A3 S & P Ratin		Unit Cost
49,150.50	<i>Security Identifier</i> :524908UB4	25,341.65	Security Identifier:501044CH2	25,582.23	Security Identifier:50075NAS3	26,309.20	Security Identifier: 494368.AX1	40,623,35	Security Identifier:44181EXR4 9		Adjusted Cost Basis
0,01001		118.8260		119,8060		111.0360		100.3350			Market Price
5.00		29,706.50		29,951.50		27,759.00		40,134.00			Market Value
-49,145.50		4,364.85		4,369.27		1,449.80		-489.35			Unrealized Gain/Loss
0.00		320.31		221.18		156.25		315.83		n de la maria de la maria de la maria de la composición de la política de la composición del composición de la composici	Accrued Interest
		1,537.50		1,625.00		1,250.00		1,516.00		والمتدام والمساورة المساورة والمساورة والمساور	Estimated Annual Income
		5.17%		5.42%		4,50%		3.77%		American de la companya de la compan	Estimated

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PAR-02-ROLL

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PARIOZ-ROLL

Portfolio Holdings (continued)

	\$1,870.12		\$447.25	\$40,472.25		\$40,025.00		Total Whitnal Funds	-
	\$1,870.12		\$447.25	\$40,472.25		\$40,025,00			1 2
4,62%	1,870.12		447.25	40,472.25	8,5700	40,025.00	est 8.4750	Open end Fullu Divident Option: Cash; Capital Gains Option; Reinvest 4,722,550 10/01/09:	II스 목-은
		,				Security Identifier:MFIAX		WITCH FUND CLASS A	
	en Viete en	SOCK SANCE AND SECURE SECURITY						Mutual Funds 4.00% of Portfolio	Z
Estimated Vield	Estimated Annual Income		Unrealized Gain/Loss	Market Value	Market Price	Gost Basis	Unit Cost	Quantity Hequisition Date	Q _E
	\$5,027.40		\$2,092.68	\$72,115.26		\$70,022.58		Total Equities	_
	\$5,027.40		\$2,092.68	\$72,115.26		\$70,022.58		lotal Preferred Stocks	l e
6.97%	5,027,40		2,092.68	72,115.26	25.8200	70,022.58	25.0710	2,793,000 07/14/06	12
					0	Security Identifier: JPM PRO		BANK ONE CAP VI PFD SECS 7 20%) G
								Preferred Stocks (Listed by expiration date)	7
Bacinet activities and activities activities and activities activities and activities activities activities and activities activities activities activities activities and activities activi	tad rejector minimum se	e in militaris de la companione de la comp	(Market Market St. (Market Market					Equities 7.00% of Portfolio	
Estimated	Estimated Angual Itazama		Unrealized Gain/Loss	Market Value	Market Price	Cost Basis	Unit Cost	Quantity Acquisition Date	ę
	\$21,606.75	\$5,807.25	-\$8, <i>777</i> .66	\$753,195.36		\$761,973.02		* 01a Fixed income 443,000.000	** **
	\$18,001.75	\$3,458.44	-\$23,434.44	\$357,300.71		\$380,735.15		Total Corporate Bonds 373,000.000	비약하
3.95%	450,00	111.25	874.31	11,368.40	113.6840	10,494,09	A2 S & P Rating Af 106,3000	CALLABLE 1ST CPN DITE 01/01/06 Moody Rating AA2 S & P Rating AA 10,000,000 04/14/09 106,3000 Original Cost Basis: 10,630,00	lo 글 용 :
					3848 2848	Security Identifier:931142BY8		WAL MART STORES INC GLOBAL NT	÷ =
6.62%	2,524,50	1,044,86	3,437.35	38,100,81	115.4570	34,663,46	ating A+ 105.5150	DTD 05/10/95 CALLABLE Moody Rating A2 S & P Rating A+ 33,000.000 02/07/08: 10 Original Cost Basis: 34,820.00	033
					SAC5	Security Identifier: 597433AC5		MIDLAND BK PLC SUB NTS ISIN#US597433AC57 7.650% 05/01/25 B/E	22 E
								Corporate Bonds (continued)	
Maria Ma	ACOMPANIA POPULA	e entreposito de la companya de la c	(Checkbastististististististististististististis		ii emperioriikkeikkininkininininininininininininini	وبالمهوراتها الجائمهم وموخوط ووجواراتها والمراواتها والموادة والموادة والموادة	e Derme en de la companyación de l	income (continue	
Estimated	Estimated towns	Accrued	Unrealized	Market Value	Market Price	Adjusted Cost Basis	Unit Cost	Quantity Acquisition Date	S

Statement Period: 09/01/2010 - 09/30/2010

Portfolio Holdings (continued)

Total Portfolio Holdings	Cost Basis Cost Basis
\$1,042,781.79	COST BASIS
\$1,036,544.06	Market Value
-\$6,237.73	Unrealized Accrued Gain/Loss Interest
\$5,807.25	Acerued Interest
\$28,504.83	Estimated Aranual Income

short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns... change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds soid sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from

3 The cost basis of securities positions acquired prior to the availability of the PORTF@LIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

4 Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking been included in the Asset Allocation information at the beginning of this statement. various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have notibeen priced, such securities have not Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing

The Estimated Price as of Date only appears when the price date does not equal the statement date.

the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation, Additional information regarding this procedure is available upon written request to your introducing firm. summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised

similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary be billed at the rates such agents use. conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositivities) will banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and

shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your

#1 Brokerage Statement, 2009, 2010 Final 849, 94 TED

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